



Docket No.: NY-LUD 5354-US1-DIV  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN  
PRECURSOR

CONSENT OF ASSIGNEE

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Cornell Research Foundation, Inc., an assignee of interest of the subject patent,  
agrees to the addition of Thierry BOON-FALLEUR and Pierre VAN DER  
BRUGGEN as inventors.

The undersigned has authority to act on behalf of the undersigner.

Brian Kelly

Name: **Brian Kelly**  
Title: **Vice President**

Cornell Research Foundation Inc.

February 9, 2007

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN  
PRECURSOR

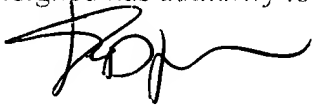
CONSENT OF ASSIGNEE

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Ludwig Institute for Cancer Research, an assignee of interest of the subject patent, agrees to the addition of **Thierry BOON-FALLEUR** and **Pierre VAN DER BRUGGEN** as inventors.

The undersigned has authority to act on behalf of the undersigner.



Name: JONATHAN SKIPPER

Date

February 8, 2007

Title: EXECUTIVE DIRECTOR FOR INTELLECTUAL PROPERTY

Ludwig Institute for Cancer Research

Docket No.: NY-LUD 5354-US1-DIV  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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PRECURSOR

STATEMENT OF ADDED INVENTORS

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The undersigned hereby state that they are properly named inventors on the subject patent. The current error in inventorship occurred without deceptive intent on our part.

  
Pierre VAN DER BRUGGEN

12 Feb 2007  
Date

  
Thierry BOON-FALLEUR.

12 Feb 2007  
Date

FEB. 27. 2007 11:12AM

LAB. OF CYTOGENETICS

NO. 159

P. 2

Docket No.: NY-LUD 5354-US1-DIV  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN  
PRECURSOR

STATEMENT OF CURRENTLY LISTED INVENTORS

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

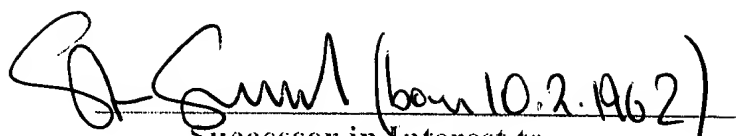
The undersigned, who are either currently listed inventors or, in the case of deceased inventor Elisabeth STOCKERT, her successor in interest, hereby agree to the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors on this patent.

  
Yao-Tseng CHEN

  
Date

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

  
Successor in Interest to  
Elisabeth STOCKERT

Vienna, Austria  
Date 10.2.2007

\_\_\_\_\_  
Pilar GARIN-CHESA

\_\_\_\_\_  
Date

\_\_\_\_\_  
Wolfgang RETTIG

\_\_\_\_\_  
Date

\_\_\_\_\_  
Lloyd J. OLD

\_\_\_\_\_  
Date

02/23/2007 17:29 FAX 212 450 1515  
23/02 07 FR 13:12 FAX +43 1 40400 5193  
03/16/2007 11:32 FAX 212 450 1515

LUDWIG INSTITUTE  
KLIN. PATHOLOGIE UNI WIEN  
LUDWIG INSTITUTE

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Patent No.: 5.843.448

Docket No.: NY-LUD 5354-US1-DIV

\_\_\_\_\_  
Successor in Interest to  
Elisabeth STOCKERT

\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Phil GARIN - CHESA

*February 23, 2007*  
\_\_\_\_\_  
Date

\_\_\_\_\_  
Wolfgang RETTIG

\_\_\_\_\_  
Date

\_\_\_\_\_  
Lloyd J. OLD

\_\_\_\_\_  
Date

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02/23/2007 FRI 08:22 [TX/RX NO 7771]

02/16/2007 12:12 FAX 212 450 1515

LUDWIG INSTITUTE

15-FEB-2007 14:41 VON:B.I. AUSTRIA 2366 FE +43-1-801052366

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S:3/3

Patent No.: 5,843,448

Docket No.: NY-LUD S354-US1-DIV

\_\_\_\_\_  
Successor in Interest to  
Elisabeth STOCKERT

\_\_\_\_\_  
Date

\_\_\_\_\_  
Pilar GARIN-CHESSA

\_\_\_\_\_  
Date

*W. J. Rettig*  
\_\_\_\_\_  
Wolfgang RETTIG

*Feb 14, 2007*  
\_\_\_\_\_  
Date

\_\_\_\_\_  
Lloyd J. OLD

\_\_\_\_\_  
Date

35743576.1

2

02/15/2007 THU 09:50 [TX/RX NO 7719]

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-USI-DIV

\_\_\_\_\_  
Successor in Interest to  
Elisabeth STOCKERT

\_\_\_\_\_  
Date

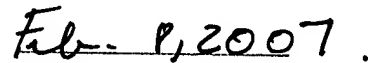
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Pilar GARIN-CHE~~SA~~

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Date

\_\_\_\_\_  
Wolfgang RETTIG

\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Lloyd J. GOLD

  
\_\_\_\_\_  
Date

**FULBRIGHT & JAWORSKI L.L.P.**

A REGISTERED LIMITED LIABILITY PARTNERSHIP  
666 FIFTH AVENUE, 31ST FLOOR  
NEW YORK, NEW YORK 10103-3198  
WWW.FULBRIGHT.COM

**FACSIMILE TRANSMISSION****DATE:** April 24, 2007**MATTER NUMBER:**

RECIPIENT(S):	FAX NO.:	PHONE NO.:
Mr. Lewis USPTO - Certificate of Corrections Branch	571-270-9932	

**FROM:** Cheryl Zecchine**FLOOR:** 2402**PHONE:** (212) 318-3167**FAX:** (212) 318-3400**RE:** US Patent No. 5,843,448**NUMBER OF PAGES INCLUDING COVER PAGE:** 15**MESSAGE:**

Mr. Lewis,

Pursuant to our phone conversation regarding the request for a certificate of correction in US Patent No. 5,843,448, attached is a copy of the return receipt stamped postcard and all documentation filed with our request.

Please have the request entered. Thank you.

**CAUTION - CONFIDENTIAL**

THE INFORMATION CONTAINED IN THIS FACSIMILE IS CONFIDENTIAL AND MAY ALSO CONTAIN PRIVILEGED ATTORNEY-CLIENT INFORMATION OR WORK PRODUCT. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHOM IT IS ADDRESSED. IF YOU ARE NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THE FACSIMILE IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

**IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL**  
\_\_\_\_\_ AT \_\_\_\_\_ **AS SOON AS POSSIBLE.**

Atty Docket No.: NY-LUD 5354-US1-DIV (09881958)  
Reference:  
Inventor: Yao-Tseng Chen et al.  
Patent No.: 5,843,448  
Title: TUMOR REJECTION ANTIGEN PRECURSOR

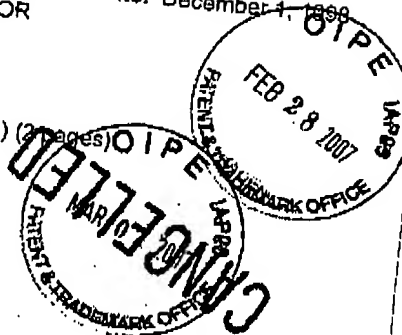
Issue Date: December 1, 1998

Documents Filed:

Certificate of Correction (1 page)  
Request for Certificate of Correction (Fee Required) (2 pages)  
Check for \$120.00  
Signed Statement of Added Inventors  
Signed Statement of Currently Listed Inventors  
(2) Signed Consent of Assignee Statement

Via: Express Mail EV 793865883 US

Sender's Initials: NDH/fm



Date: March 1, 2007

FULBRIGHT & JAWORSKI, LLP  
IPT DOCKETING


Docketed ☐ Not Req'd ☐ Confirmation ☐

Initials 1st \_\_\_\_\_ Initials 2nd \_\_\_\_\_

MAR 09 2007

Attorney \_\_\_\_\_

Docket No. \_\_\_\_\_  
Action Req'd \_\_\_\_\_ Date Due \_\_\_\_\_

 EV 793665883 US		 UNITED STATES POSTAL SERVICE®		Customer Copy Label 11-F, April 2004	
<b>ORIGIN (POSTAL SERVICE USE ONLY)</b> PO ZIP Code: 10020 Day of Delivery: <input checked="" type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day Date Accepted: 03/07 Mon: Day Year Time Accepted: 1705 <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM Flat Rate <input type="checkbox"/> or Weight: 3 lbs. 0 oz. Postage: \$ 14.40 Return Receipt Fee: \$ Scheduling Date of Delivery: 03/03 Mon: Day Year Scheduled Time of Delivery: <input checked="" type="checkbox"/> Noon <input type="checkbox"/> 3 PM <input type="checkbox"/> Military COD Fee: \$ Insurance Fee: \$ Total Postage & Fees: \$ 14.40 Int'l Alpha Country Code: Acceptance Emp. Initials: LHC			<b>DELIVERY (POSTAL USE ONLY)</b> Delivery Attempt: Time: <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature: Mo. Day Delivery Attempt: Time: <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature: Mo. Day Delivery Date: Time: <input type="checkbox"/> AM <input type="checkbox"/> PM Employee Signature: Mo. Day		
<b>CUSTOMER USE ONLY</b> METHOD OF PAYMENT: Exported Mtd. Certificate Acct. No.: FROM: (PLEASE PRINT) N. Hanson FULBRIGHT & JAWORSKI LLP 866 5TH AVE FL 30 NEW YORK NY 10103-3198 PHONE: 212 318 3230 LUBS354.1 DIV - 09881958			<b>TO: (PLEASE PRINT)</b> Att: Cert of Corr. Branch COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA VA 22313-1450 PHONE: FOR PICKUP OR TRACKING: Visit <a href="http://www.usps.com">www.usps.com</a> or Call 1-800-222-1811		

Docket No.: NY-LUD 5354-US1-DIV (09881958)  
(PATENT)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. <u>67 793665883</u> , on the date shown below in an envelope addressed to: Attention: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: <u>3/1/07</u>	Signature: <u>Fani Malikouzakis</u> (Fani Malikouzakis)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Letters Patent of:  
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN  
PRECURSOR

**REQUEST FOR CERTIFICATE OF INVENTORSHIP  
PURSUANT TO 37 CFR 1.324**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The real parties in interest of the above referenced patent, and the presently listed inventors, hereby request that a Certificate issue to correct inventorship by ADDING Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors.

Pursuant hereto, the following are submitted:

- (a) A statement from both Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN, stating that the inventorship error occurred

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Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

without any deceptive intention on either of their parts (37 C.F.R. § 1.324(b)(1));

- (b) A statement from the current named inventors, agreeing that the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors (37 C.F.R. § 1.324(b)(2));
- (c) A statement from the assignees: LUDWIG INSTITUTE FOR CANCER RESEARCH and CORNELL RESEARCH FOUNDATION, INC., agreeing to the change in inventorship. The statements are believed to conform to 37 C.F.R. § 3.73(b), (37 C.F.R. § 1.324(b)(3)); and
- (d) A check in the amount of \$120.00, as called for by 37 C.F.R. § 1.20(b) (37 C.F.R. § 1.324 (b)(4)).

Favorable consideration is requested.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0624, under Order No. NY-LUD 5354-US1-DIV (098819580).

Dated:

3/1/07

Respectfully submitted,

By

Norman D. Hanson

Registration No.: 30,946

FULBRIGHT &amp; JAWORSKI L.L.P.

666 Fifth Avenue

New York, New York 10103

(212) 318-3000

(212) 318-3400 (Fax)

Attorney for Applicant

Docket No.: NY-LUD 5354-USI-DIV  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Yao-Tseng Chen et al.

Patent No.: 5,843,448

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For: TUMOR REJECTION ANTIGEN  
PRECURSOR

CONSENT OF ASSIGNEE

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Cornell Research Foundation, Inc., an assignee of interest of the subject patent, agrees to the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors.

The undersigned has authority to act on behalf of the undersigner.

Brian Kelly  
Name: Brian Kelly  
Title: Vice President  
Cornell Research Foundation Inc.

February 9, 2007  
Date

25741584.1

Docket No.: NY-LUD 5354-US1-DIV  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
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Patent No.: 5,843,448

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Alexandria, VA 22313-1450

Dear Sir:

Ludwig Institute for Cancer Research, an assignee of interest of the subject patent, agrees to the addition of **Thierry BOON-FALLEUR** and **Pierre VAN DER BRUGGEN** as inventors.

The undersigned has authority to act on behalf of the undersigner.

  
Name: JONATHAN SKIPPER  
Title: EXECUTIVE DIRECTOR OF INTELLECTUAL PROPERTY  
Ludwig Institute for Cancer Research

February 8, 2007  
Date

25743589.1

02/26/2007 11:06 FAX 212 450 1346  
26. FEV. 2007 10:20 LICR

LUDWIG INSTITUTE

Nº 171

P. 2 002

Docket No.: NY-LUD 5354-US1-DIV  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Yao-Tseng Chen et al.

Patent No.: 5,843,448

Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN  
PRECURSOR

STATEMENT OF ADDED INVENTORS

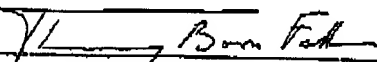
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Alexandria, VA 22313-1450

Dear Sir:

The undersigned hereby state that they are properly named inventors on the subject patent. The current error in inventorship occurred without deceptive intent on our part.

  
Pierre VAN DER BRUGGEN

12 Feb 2007  
Date

  
Thierry BOON-FALLEUR.

12 Feb 2007  
Date

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02/28/2007 MON 05:22 [TX/RX NO 7783]

02/28/2007 15:28 FAX 212 450 1623

LUDWIG INSTITUTE

FEB. 27. 2007 11:12AM

LAB. OF CYTOGENETICS

NO. 159

P. 2

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Docket No.: NY-LUD 5354-USI-DIV  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
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Issued: December 1, 1998

For: TUMOR REJECTION ANTIGEN  
PRECURSOR

STATEMENT OF CURRENTLY LISTED INVENTORS

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The undersigned, who are either currently listed inventors or, in the case of deceased inventor Elisabeth STOCKERT, her successor in interest, hereby agree to the addition of Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN as inventors on this patent.

  
Yao-Tseng CHEN

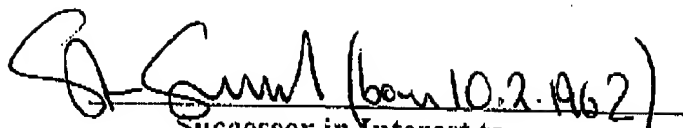
  
Date

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02/28/2007 WED 13:01 [TX/RX NO 7814]

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

  
Successor in Interest to  
Elisabeth STOCKERT

Vienna, Austria  
Date 10.2.2007

\_\_\_\_\_  
Pilar GARIN-CHESA

\_\_\_\_\_  
Date

\_\_\_\_\_  
Wolfgang RETTIG

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Date

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Lloyd J. OLD

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Date

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FULBRIGHT JAWORSKI

PAGE 11/15

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23/02 07 FR 13:12 FAX +43 1 40400 5103  
02/16/2007 11:32 FAX 212 450 1515

LUDWIG INSTITUTE  
KLIN. PATHOLOGIE UNI WIEN  
LUDWIG INSTITUTE

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Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

Successor in Interest to  
Elisabeth STOCKERT

Date

  
Phil GARIN

February 23, 2007  
Date

Wolfgang RETTIG

Date

Lloyd J. OLD

Date

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Seite 4

02/23/2007 FRI 08:22 [TX/RX NO 7771]

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LUDWIG INSTITUTE

15-FEB-2007 14:41 VON:B.I. AUSTRIA 2366 FE +43-1-801052366

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Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

Successor in Interest to  
Elisabeth STOCKERT

Date

Pilar GARIN-CHESA

Date

*W. J. Rettig*  
Wolfgang RETTIG

*Feb 14, 2007*  
Date

Lloyd J. OLD

Date

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02/15/2007 THU 08:50 [TX/RX NO 7719]

Patent No.: 5,843,448

Docket No.: NY-LUD 5354-US1-DIV

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Successor in Interest to  
Elisabeth STOCKERT

\_\_\_\_\_  
Date

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Pilar GARIN-CHESSA

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Date

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Wolfgang RETTIG

\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Lloyd J. GOLD

Feb. 8, 2007  
\_\_\_\_\_  
Date

PTO/SB/44 (04-05)  
Approved for use through 04/30/2007. OMB 0651-0033  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 5,843,448  
APPLICATION NO. : 08/560,024  
ISSUE DATE : December 1, 1998  
INVENTOR(S) : Yao-Tseng Chen et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the [75] Inventors section of the front page of the patent, please add the following (2) inventors to the list of inventors:

-- Thierry BOON-FALLEUR and Pierre VAN DER BRUGGEN, both of Brussels, Belgium --

## Certificate of Correction

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 793065883 US, on the date shown below in an envelope addressed to:  
Attention: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 3/1/07Signature: Fani Malikouzakis (Fani Malikouzakis)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Norman D. Hanson  
FULBRIGHT & JAWORSKI L.L.P.  
666 Fifth Avenue  
New York, New York 10103

25750698.1

APPR#	INVOICE#	DATE	AMOUNT	DESCRIPTION	VOUCH#
01030	022707	02-27-07	120.00	Cort. Inventorship Fee / 09881958	10818910
Fud/5354.101V					

FULBRIGHT &amp; JAWORSKI L.L.P.

VENDOR: 15667 Commissioner of Patents and Trademarks - VA CHECK NO: 65251

**FULBRIGHT & JAWORSKI L.L.P.**  
 A Registered Limited Liability Partnership  
 655 Third Avenue  
 New York, New York 10043

**CHECK DATE** 02/27/2007

**AMOUNT** \$120.00

**65251**

**Check Number** 09881958

**Amount** \$120.00

**Check Date** 02/27/2007

**Amount** \$120.00

**Signature** [Signature]

**Authorizing Signature** [Signature]

**True Signatures Required if Over \$10,000**

**SECURITY FEATURES INCLUDE VOID PANTOGRAPH, MICRO SIGNATURE LINE & SCREENED BACK PRINTING**